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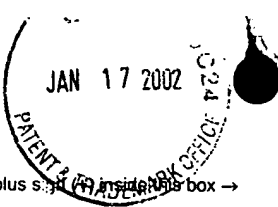
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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)		Application Number	09/982,125
		Filing Date	October 17, 2001
		First Named Inventor	WEINSTEIN, JAMES N.
		Group Art Unit	3724
		Examiner Name	
Total Number of Pages in This Submission	7	Attorney Docket Number	5506
ENCLOSURES (check all that apply)			
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Documents <input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below):	Remarks
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			
Firm or Individual Name	GENERAL MILLS		
Signature	John G. O'Shea		
Date	11/30/2001		

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: November 24, 2001.	
Typed or printed name	Brenda K. Popp
Signature	Brenda K. Popp
Date	Nov. 30, 2001

Burden Hour Statement: This form is estimated to take .2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

#4

JAN 17 2002

PTO/SB/17 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032
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**FEE TRANSMITTAL
for FY 2001**

Patent fees are subject to annual revision.

Complete if Known

Application Number	09/982,125
Filing Date	October 17, 2001
First Named Inventor	WEINSTEIN, JAMES N.
Examiner Name	
Group Art Unit	3724
Attorney Docket No.	5506

TOTAL AMOUNT OF PAYMENT (\$) 1,188.00**METHOD OF PAYMENT**

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit overpayments to:
Deposit Account Number **07-0900**
Deposit Account Name _____
- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
- ☐ Applicant Claims small entity status. See 37 CFR 1.27

2. ☐ **Payment Enclosed:**
☐ Check ☐ Credit Card ☐ Money ☐ Other
Order
FEE CALCULATION**1. BASIC FILING FEE**

Large Entity Code	Small Entity Code	Fee (\$)	Fee Description	Fee Paid
101	201	740	Utility filing fee	740
106	206	330	Design filing fee	
107	207	510	Plant filing fee	
108	208	740	Reissue filing fee	
114	214	160	Provisional filing fee	

SUBTOTAL (1) (\$) 740**2. EXTRA CLAIM FEES**

Extra Claims	Fee from below	Fee Paid
Total Claims 33-20** = 13	x 18	= 234
Indep. Claims 4-3** = 1	x 84	= 84
Multiple Dependent		=

Large Entity Code	Small Entity Code	Fee (\$)	Fee Description
103	203	18	Claims in excess of 20
102	202	84	Independent claims in excess of 3
104	204	280	Multiple dependent claim, if not paid
109	209	84	** Reissue independent claims over original patent
110	210	18	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 318

**or number previously paid, if greater; For Reissues, see above.

FEE CALCULATION (continued)

Fee Description	Fee Paid
105 130 205 65 Surcharge - late filing fee or oath	130
127 50 227 25 Surcharge - late provisional filing fee or cover sheet	
139 130 139 130 Non-English specification	
147 2520 147 2520 For filing a request for <i>ex parte</i> reexamination	
112 920* 112 920* Requesting publication of SIR prior to Examiner action	
113 1840* 113 1840* Requesting publication of SIR after Examiner action	
115 110 215 55 Extension for reply within first month	
116 400 216 200 Extension for reply within second month	
117 920 217 460 Extension for reply within third month	
118 1440 218 720 Extension for reply within fourth month	
128 1960 228 980 Extension for reply within fifth month	
119 310 220 155 Notice of Appeal	
120 310 220 155 Filing a brief in support of an appeal	
121 270 221 135 Request for oral hearing	
138 1510 138 1510 Petition to institute a public use proceeding	
140 110 240 55 Petition to revive - unavoidable	
141 1240 241 620 Petition to revive - unintentional	
142 1280 242 620 Utility issue fee (or reissue)	
143 440 243 220 Design issue fee	
144 600 244 300 Plant issue fee	
146 710 246 355 Filing a submission after final rejection (37 CFR § 1.129 (a))	
149 710 246 355 For each additional invention to be examined (37 CFR § 1.129(b))	
179 710 279 355 Request for Continued Examination (RCE)	
169 900 169 900 Request for expedited examination of a design application	
Advance Copies	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid **SUBTOTAL (3)** (\$) 130**SUBMITTED BY****Complete (if applicable)**

Name (Print/Type)	John A. O'Toole	Registration No.	28,336	Telephone	763-764-2422
Signature	<i>John A. O'Toole</i>	(Attorney/Agent)		Date	11/20/2001

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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/982,125	10/17/2001	James Weinstein	GM Docket 5506

CONFIRMATION NO. 9344

FORMALITIES LETTER



OC000000007103887

John O'Toole, Esq.
General Mills, Inc.
P.O. Box 1113
Minneapolis, MN 55440

Date Mailed: 11/21/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$318.
 - \$234 for 13 total claims over 20.
 - \$84 for 1 independent claims over 3 .
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1188.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

JAN 17 2002
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re.: Patent Application of
WEINSTEIN, JAMES N.
CREMERS, THOMAS G.
SMITH, THOMAS L.

Serial No.: 09/982,125

Filed: October 17, 2001

For: ROTARY CUTTER ASSEMBLY

Docket No.: 5506

FILING OF MISSING PARTS OF APPLICATION

Assistant Commissioner for Patents
Washington, DC 20231

Dear Sir:

Applicant has received a Notice To File Missing Parts
Of Application--Filing Date Granted. The notice indicated
that the following items were missing:

1. The basic filing fee,
2. Additional claim fees,
3. The oath or declaration.

Please find enclosed a Declaration executed by the
inventors.

Also enclosed is a copy of the Notice. The fee is
herewith made from Deposit Account No. 07-0900.

Respectfully submitted,

WEINSTEIN, JAMES N.
CREMERS, THOMAS G.
SMITH, THOMAS L.

I hereby certify that this document is
being deposited with the United States
Postal service as first class mail in an
envelope addressed to:

Assistant Commissioner for
Patents
Washington, DC 20231

on: November 30, 2001

Brenda K. Papp
Signature

By John A. O'Toole 11/30/01
John A. O'Toole
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